



Europäisches  
Patentamt

European  
Patent Office

Office européen  
des brevets

RECEIVED

16 MAR 2004

WIPO

PCT

Bescheinigung

Certificate

Attestation

Die angehefteten Unterla-  
gen stimmen mit der  
ursprünglich eingereichten  
Fassung der auf dem näch-  
sten Blatt bezeichneten  
europäischen Patentanmel-  
dung überein.

The attached documents  
are exact copies of the  
European patent application  
described on the following  
page, as originally filed.

Les documents fixés à  
cette attestation sont  
conformes à la version  
initialement déposée de  
la demande de brevet  
européen spécifiée à la  
page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

03000365.1

**PRIORITY  
DOCUMENT**  
SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

R C van Dijk



Anmeldung Nr:  
Application no.: 03000365.1  
Demande no:

Anmeldetag:  
Date of filing: 10.01.03  
Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

Roche Vitamins AG

4070 Basel  
SUISSE

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se referer à la description.)

Process for the manufacture of powderous preparations of fat-soluble substances

In Anspruch genomene Priorität(en) / Priority(ies) claimed /Priorité(s)  
revendiquée(s)  
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/  
Classification internationale des brevets:

A23L1/00

Am Anmeldetag benannte Vertragstaaten/Contracting states designated at date of  
filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT SE SI SK

Roche Vitamins AG, CH-4070 Basle, Switzerland

Case 21567

Process for the manufacture of powdorous preparations of fat-soluble substances

The present invention is concerned with a novel process for the manufacture of water-dispersible preparations of fat-soluble substances.

- 5 Water-dispersible preparations of fat-soluble substances, e.g. the fat-soluble vitamins, carotenoids, polyunsaturated fatty acids and the like, play an important rôle in the field of human and animal nutrition. Such preparations are usually marketed in the form of emulsions or dry powders because of their water-insolubility or also their more or less pronounced stability and ease of handling. It is a common feature of such preparations
- 10 that the active substances, i.e. the fat-soluble substances, are usually enveloped with a matrix component (protective colloid), e.g. gum arabic or gelatine. This matrix component is responsible, inter alia, for the protection of the active substance or for its stabilization, for an optimum resorption and for the water-dispersibility of the final preparation which may be required. As the matrix component (protective colloid) there is
- 15 normally used gelatine which originates from warm-blooded animals and which accordingly also has certain disadvantages. Merely by way of example there are to be mentioned here the fact that preparations based on such gelatine cannot be used worldwide for religious reasons, that without an expensive production process this gelatine and accordingly also the pulverous preparations manufactured therewith do not always
- 20 have the desired dispersibility in cold water, etc. To overcome such drawbacks inherent to gelatine-base preparations the use of certain lignin derivatives as a matrix component instead of gelatine has been proposed, see, e.g., USP 5,668,183. A preferred process for the manufacture of such lignin derivative-based powdorous preparations is the starch-catch process which is a spray-drying process where the sprayed emulsion particles are collected
- 25 in a bed of starch. The so-obtained products consist of particles comprising the matrix component and the fat-soluble substance embedded therein which are covered by an adhesive layer of starch. The term "beadlets" as used herein refers to such particles.

Grn/sk, 10.01.2003

- 2 -

Beadlets provide superior handling properties in that they are not dusty and possess good flowability characteristics. However, the production of lignin-derivative based beadlets and, generally, beadlets based on non-gelling matrix components, by the starch-catch process as described is accompanied by the formation of deposits in the spray tower which requires  
5 an interruption of the spray-drying process for cleaning the installation. It has now been found that the formation of deposit in the spray tower can be significantly suppressed or substantially avoided when a stream of cold air is introduced into the lower part of the spray tower, thus forming a fluidized bed which has a substantial lower temperature than the temperature of the spray zone, whereupon the beadlets are discharged from the  
10 fluidized bed to a dryer to complete the drying process.

Accordingly, in one aspect, the present invention relates to a process for the manufacture of beadlet preparations of fat-soluble substances in a water-soluble or water-dispersible non-gelling matrix, which process comprises  
15 (a) feeding in the upper section of a vertical spray tower, through a spray nozzle an aqueous emulsion of said fat-soluble substance(s) and said matrix component, and, through separate inlets, powderous starch and a stream of hot air,  
(b) feeding in the lower section of said spray tower a stream of cold air to form a fluidized bed of starch-covered beadlets comprising said matrix component said fat-soluble  
20 substances, and  
(c) collecting said beadlets from the fluidized bed and discharging them to a dryer.  
In a further aspect, the present invention relates to an arrangement of nozzles substantially as shown in Figure 2.

In still another aspect the present invention relates to an installation substantially as shown  
25 in Figure 1.

Figure 1 shows an installation for carrying out the process of the present invention. The spraying tower can be any conventional spraying tower having at its top separate inlets for the emulsion [1] which contains the fat-soluble substance, the matrix component and adjuvants, for fresh hot air [2], and for recycling hot air and starch [6]. In the middle part  
30 of the spraying tower inlets are provided for fresh supply of starch [4] and for recycling air from the dryer [9]. At the bottom of the drying tower, inlets are provided for cold air [3]. Through an outlet [5] air and starch leaving the spraying tower are recycled. The beadlets, i.e., the starch-covered particles comprising the matrix with the fat-soluble substance is collected at the bottom of the spraying tower and is discharged through outlet [7] to a dryer  
35 for removing residual humidity as required.

In a preferred embodiment, the spray-drying process according to the present invention is carried out using an arrangement of nozzles substantially as shown in Figure 2. The

- 3 -

arrangement comprises a first, hollow cone the upper and wider end of which is closed and carries one or more inlets for a starch/air dispersion. A second, inner cone is fitted into the first, hollow outer cone in such a manner that it points in upward direction into said first cone, leaving at its end a small circular slot between its outer surface and the inner surface of the first cone so that the starch dispersion can pass the cone. In the axis of the cones a inlet tube for supply of the emulsion protrudes in downward direction over the second cone and ending with a rotary atomizer or pressure atomizer. The atomizer distributes the emulsion, the air and starch stream into the spray tower. The arrangement further has a conventional, circular air inlet channel surrounding the outer cone and ending slightly above the circular slot that is formed by the cones. The arrangement finally has appropriate means, e.g. a thread, to tightly fix it in the spraying tower.

The dimensions of the nozzle arrangement is not narrowly critical and depends on the requirements of the spraying capacity. The first, outer cone may have a radius of about 30 to about 40 cm at its upper end and a height of about 40 to about 50 cm. The second, inner cone may have a radius of about 20 to about 26 cm at its lower end and a height of about 15 to about 25 cm. The width of the slot formed between the inner and outer cone is suitably about 3 to about 10 mm. The inlet tube for the supply of the emulsion suitably has a diameter of about 80 to about 110 mm and the rotary atomizer suitably have a diameter of about 32 to about 42 mm. The inlet tubes for the supply of starch suitably have a diameter of about 3 to about 7 mm. The circular channel for hot air supply suitably has a width of about 50 to about 70 cm.

The temperature of the emulsion is suitably in the range of 15 °C to 80 °C. The ratio of hot air flow to cold air flow may be e.g., in the range of 1:8 to 1:4 (hot:cold). The hot air suitably has a temperature to provide a temperature in the spray zone of about 40 °C to about 200 °C, preferably about 60 °C to about 120 °C. Preferably, the hot air is dehumidified, e.g. to a water content of less than about 3 g/kg. For the fluidisation of the internal fluidized bed at the bottom of the tower cold and dry air (about 5-15°C) with a water content of less than about 3 g/kg is suitably used. The temperature of the internal fluidized bed is maintained within the range of about 0°C to about 40°C, preferably about 5°C to about 20°C by controlling the supply and temperature of the cold air.

For improved fluidising the product in the internal fluidized bed silicic acid [10] may be added. The silicic acid is added continuously to the starch flow [4] which is fed into the internal fluidized bed as required to compensate starch pick-up. Adjuvants may also be added here.

- 4 -

The starch used in the process of the present invention is preferably corn starch. The term "fat-soluble substances" embraces in the scope of the present invention especially the fat-soluble vitamins A, D, E and K, carotenoids such as, for example,  $\beta$ -carotene, astaxanthin, apocarotenal, canthaxanthin, apoester, citranaxanthin, zeaxanthin, lutein and lycopene.

5 Examples for polyunsaturated fatty acids are e.g. linoleic acid, linolenic acid, arachidonic acid, docosahexaenic acid, eicosapentaenic acid and the like. However, there readily come into consideration other fat-soluble substances which play a rôle in human or animal nutrition. These substances, such as the previously mentioned, are usually marketed in the form of emulsions or dry powders because of their insolubility in water or also their more  
10 or less pronounced stability and ease of handling. Here there can be mentioned, in particular, oils and fats such as, for example, sunflower oil, palm oil and beef fat.

The matrix component in the emulsions that can be used in the process of the present invention is preferably a lignin derivative, especially a lignin derivative as described in USP 5,668,183 the contents of which are incorporated herein for reference purposes. Sodium,  
15 calcium and ammonium liginsulfonate are of particular interest. A mixture of several lignin derivatives can be used. Further examples of non-gelling matrix components for emulsions which can advantageously be processed into beadlet preparations (beadlets) in accordance with the present invention are modified starch, pectin and cellulose derivatives. The emulsions which are processed in accordance with the present invention may further  
20 contain adjuvants conventionally used in such preparations, e.g. anti-oxidants such as butylated hydroxyanisole, butylated hydroxytoluene, ethoxyquin, tocopherols, or humectants such as glycerol, sorbitol, polyethylene glycol or propylene glycol.

The invention is illustrated further by the Example which follows:

#### Example

25 An emulsion comprising 3 wt% of active component, e.g., d,l- $\alpha$ -tocopherol acetate, 43wt% of water, 50 wt% of lignin sulfonate and 4wt% of additives is prepared and processed in an installation as shown in Figure 1. In the following the reference numerals in brackets refer to the respective reference numerals in Fig. 1.

Corn starch is introduced into the spraying tower through inlet [4]. Subsequently, a flow  
30 of the emulsion [1] is sprayed into the spraying tower through an arrangement of nozzles as shown in Fig. 2. At the top of the tower hot air [2] of 80°C with a water content of 8 g/kg is fed in.

For the fluidization of the internal fluidized bed at the bottom of the tower cold, dried air [3] of 10°C with a water content of 1 g/kg is fed in.

- 5 -

Fresh cornstarch [4] is added as required to compensate starch pick-up by the beadlet formation. For fluidizing of the product in the internal fluidized bed silicic acid [10] may be added continuously to the starch flow. The fresh cornstarch as well as the silicic acid is fed directly in the internal fluidized bed.

- 5 Exhaust air [5] leaves the tower through a cylinder in the middle of the cone at the bottom of the tower. The cornstarch in this air is separated by a cyclone and recycled to the tower (directly in the atomizing zone). The temperature of this air is about 60°C, and the water content 12 g/kg. The recycled cornstarch [6] is atomized by two nozzles in the inner cone of the atomizer device. The finely distributed cornstarch falls down on the cone and contra-
- 10 cone and trickles afterwards through the slit that is formed by the two cones in the tower.

The particles (beadlets) leaving the spraying tower and containing some moisture are moved to an external dryer which is operated using dehumidified air. The beadlets [11] leaving the external dryer have an average diameter of about 150-500 µm.

- 6 -

Claims

1. A process for the manufacture of beadlet preparations of fat-soluble substances in a water-soluble or water-dispersible non-gelling matrix, which process comprises  
(a) feeding in the upper section of a vertical spray tower, through a spray nozzle an  
5 aqueous emulsion of said fat-soluble substance(s) and said matrix component, and, through separate inlets, powderous starch and a stream of hot air,  
(b) feeding in the lower section of said spray tower a stream of cold air to form a fluidized bed of starch-covered beadlets comprising said matrix component said fat-soluble substances, and  
10 (c) collecting said beadlets from the fluidized bed and discharging them to a dryer.
2. A process as in claim 1 wherein the spray zone has a temperature of about 40 °C to about 200 °C, preferably about 60 °C to about 120 °C and the fluidized bed has a temperature of about 0 °C to about 40 °C, preferably about 5 to about 20 °C.
3. A process as in claim 1 or 2 wherein the aqueous emulsion, starch and a stream of hot  
15 air is fed into the spraying tower through an arrangement of nozzles substantially as shown in Figure 2 and comprising  
(a) a first, hollow cone the upper and wider end of which is closed and carries one or more inlets for a starch/air dispersion;  
(b) a second, inner cone fitted into the first, hollow outer cone in such a manner that it  
20 points in upward direction into said first cone, leaving at its end a small circular slot between its outer surface and the inner surface of the first cone;  
(c) a inlet tube with closed end and rotary atomizer protruding in downward direction over the second cone and ending with a rotary atomizer or pressure atomizer; and  
(d) a circular air inlet channel surrounding the outer cone and ending slightly above the  
25 circular slot that is formed by the cones.
4. A process as in any one claims 1-3 wherein the matrix component is a lignin derivative.
5. A process as in claim 4 wherein the lignin derivative is a lignin sulfonate.  
30
6. A process as in any one of claims 1-5 wherein the fat-soluble substance is vitamin A, D, E and K, a carotenoid, a polyunsaturated fatty acid, an oil or a fat.
7. A process as in any one of claims 1-5 wherein the fat-soluble substance is  $\beta$ -carotene,  
35 astaxanthin, apocarotenal, canthaxanthin, apoester, citranaxanthin or zeaxanthin.



- 7 -

8. An arrangement of nozzles substantially as shown in Figure 2, and comprising  
(a) a first, hollow cone the upper and wider end of which is closed and carries one or more  
inlets for a starch/air dispersion;

5 (b) a second, inner cone fitted into the first, hollow outer cone in such a manner that it  
points in upward direction into said first cone, leaving at its end a small circular slot  
between its outer surface and the inner surface of the first cone;

(c) a rotating inlet tube with closed end and small side openings protruding in downward  
direction over the second cone and ending with a rotary atomizer or pressure atomizer;  
and

10 (d) a circular air inlet channel surrounding the outer cone and ending slightly above the  
circular slot that is formed by the cones.

9. The invention as described hereinbefore especially with reference to the Example and  
the drawings.

15

\*\*\*

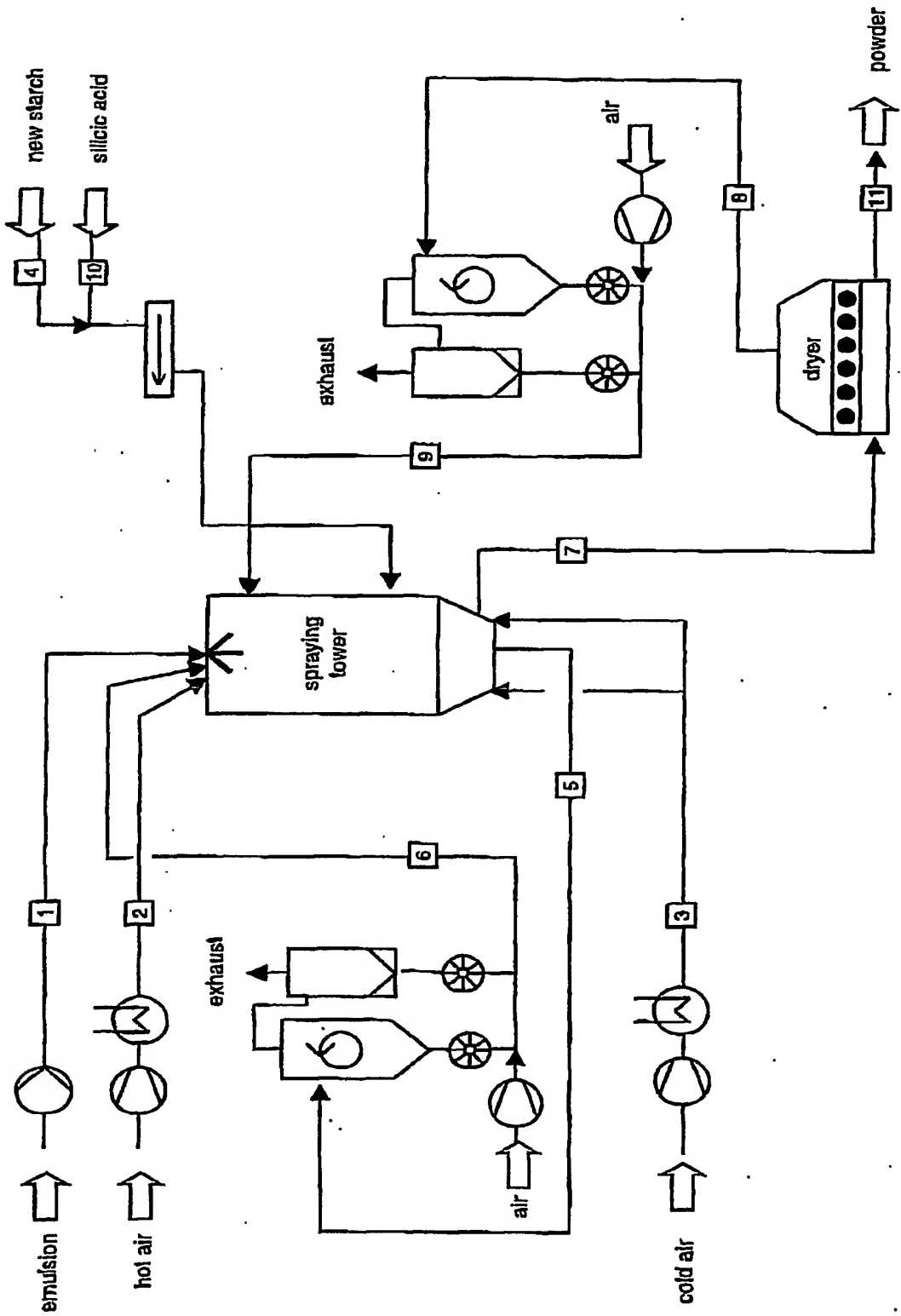


Figure 1

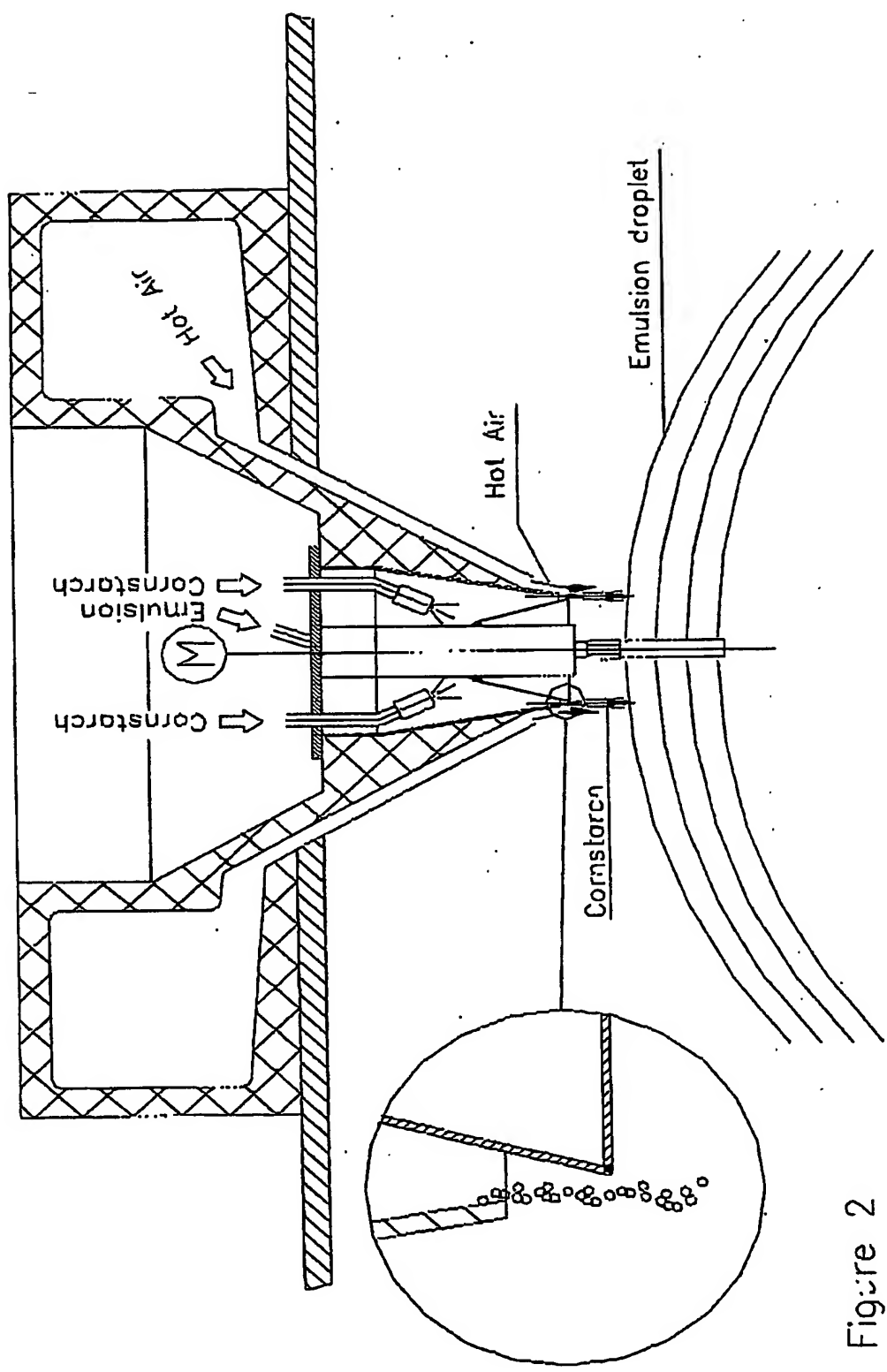


Figure 2

10/01/2002 09:45  
 075 P 016

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☒ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**